



#8  
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7/31/03

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 24 2003

Technology Center 2600

In re Application of: ) Group Art Unit: 2641  
Darryl Rubin et al. ) Examiner: TBD  
Serial Number: 09/768,813 ) Attorney Docket No. 003797.81833  
Filed: January 25, 2001 ) Confirmation No. TBD

For: ANNOTATING ELECTRONIC INFORMATION WITH AUDIO CLIPS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO Forms 1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related applications U.S. Serial Nos. 09/457,109; 09/456,127; 09/556,865; 09/456,975; 09/456,249; 09/603,781; 09/605,878; 09/604,810; 09/456,952; 09/455,807; 09/455,754; 09/455,806; 09/455,808 and 09/455,805.

Three of the documents (U.S. Patent Nos. 5,920,694 and 5,986,665 and Patent Abstracts of Japan, Publication No. 11327789) were cited by the International Searching Authority for corresponding International Application No. PCT/US 01/19919 on October 5, 2001. A copy of this search report is also enclosed.

Three of the documents (WO 97 22109A, the Schilit and Price article, and the Graefe article) were cited by the International Searching Authority for corresponding

**Information Disclosure Statement**

Serial No. 09/768,813

Page 2

International Application Nos. PCT/US 00/33129 on February 14, 2001 and PCT/US 00/33082 on February 15, 2001. The three references, along with the search report for International Application No. PCT/US 00/33129, have already been made of record in the above-identified application. We are merely submitting a copy of the search report for PCT/US 00/33082.

Consideration of all information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed before receipt of a first Office Action on the merits. No certification or fee is required. However, in the event a fee is due, please charge Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed PTO Forms 1449 in the appropriate place to indicate that the documents have been considered, and return a copy of the initialed forms to the undersigned in accordance with MPEP Section 609.

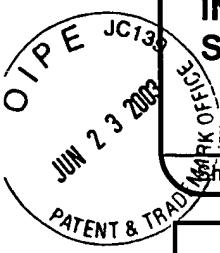
Respectfully submitted,

Date: June 23, 2003

By: Gary D. Fedorochko  
Gary D. Fedorochko  
Registration No. 35,509

Banner & Witcoff, LTD  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

4

Complete if Known

Application Number	09/768,813
Filing Date	01/25/2001
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2641
Examiner Name	TBD
Attorney Docket Number	003797.81833

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US- 5,239,466	08/24/1993	Morgan et al.
		US- 5,434,965	07/18/1995	Matheny et al.
		US- 5,572,643	11/05/1996	Judson
		US- 5,632,022	05/20/1997	Warren et al.
		US- 5,682,439	10/28/1997	Beernink et al.
		US- 5,687,331	11/11/1997	Volk et al.
		US 5,877,757	03/02/1999	Baldwin et al.
		US 5,978,818	11/02/1999	Lin
		US 6,321,244 B1	11/20/2001	Liu et al.
		US- 5,717,879	02/10/1998	Moran et al.
		US- 5,729,687	03/17/1998	Rothrock et al.
		US- 6,181,344	01/30/01	Tarpenning et al.
		US- 6,094,197	07/25/00	Buxton et al.
		US- 5,745,116	04/28/1998	Pisutha-Arnold
		US- 6,331,867	12/18/2001	Eberhard et al.
		US- 6,256,287	03/12/2002	Ruberry et al.
		US- 5,790,818	08/04/1998	Martin
		US- 5,821,925	10/13/1998	Carey et al.
		US- 5,948,040	09/07/1999	DeLorme et al.
		US- 5,950,214	09/07/1999	Rivette et al.
		US 6,389,434 B1	05/14/2002	Rivette et al.

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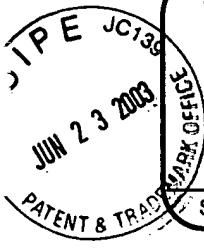
## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 96/20908	07/11/1996	Mikitenko et al.		
		WO 98/06054	02/12/1998	Huffman et al.		
		WO 99/49383	09/30/1999	Ruberry et al.		

Examiner  
SignatureDate  
Considered

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 3 of 4

C mplete if Known	
Application Number	09/768,813
Filing Date	01/25/2001
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2641
Examiner Name	TBD
Attorney Docket Number	003797.81833

## **U.S. PATENT DOCUMENTS**

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Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 5,801,687	09/01/1998	Peterson et al.	
		US 6,460,058 B2	10/01/2002	Koppolu et al.	
		US 6,018,334	01/25/2000	Eckerberg et al.	
		US 6,405,221 B1	06/11/2002	Levine et al.	
		US 6,157,381	12/05/00	Bates et al.	
		US 6,035,330	03/07/2000	Astiz et al.	
		US 5,918,236	06/29/1999	Wical	
		US 6,049,812	04/11/2000	Bertram et al.	
		US 5,931,912	08/03/1999	Wu et al.	
		US 6,195,679 B1	02/27/2001	Bauersfeld et al.	
		US 6,081,829	06/27/2000	Sidana	
		US 6,230,171 B1	05/08/2001	Pacifci et al.	
		US 6,369,811 B1	04/09/2002	Graham et al.	
		US 5,986,665	11/16/1999	Wrey et al.	
		US 5,920,694	07/06/1999	Carleton et al.	
		US 6,289,362	09/11/2001	Van Der Meer	
		US 6,018,742	01/25/2000	Herbert, III	
		US 6,054,990	04/25/2000	Tran	
		US 5,737,599	04/07/1998	Rowe et al.	
		US 5,832,263	11/03/1998	Hansen et al.	
		US 2002/0097261 A1	07/25/2002	Gottfurcht et al.	

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## FOREIGN PATENT DOCUMENTS

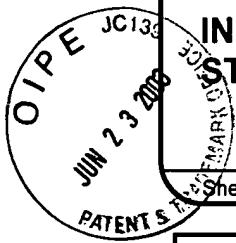
<b>Examiner Signature</b>		<b>Date Considered</b>	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

4 of 4

**Complete if Known**

Application Number	09/768,813
Filing Date	01/25/2001
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2641
Examiner Name	TBD

Attorney Docket Number 003797.81833

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110
		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999
		Open eBook Forum, Open eBook™ Publication Structure 1.0, <a href="http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm">http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm</a>
		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)
		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998
		Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999
		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999

Examiner Signature	Date Considered
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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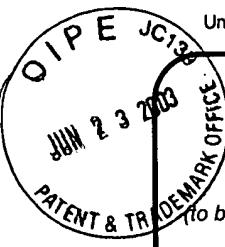
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Approved for use through 10/31/2002. OMB 0651-0031

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2641



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/768,813

Filing Date 01/25/01

First Named Inventor Darryl Rubin et al.

Group Art Unit 2641

Examiner Name TBD

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Total Number of Pages in This Submission

Attorney Docket Number 003797.81833

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers  
(for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) \_\_\_\_\_ After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)  
(please identify below):

4 PTO 1449 Forms; 63 U.S. patents; 1 published application; 3 foreign patent documents; 7 non-patent documents; Search Report for PCT/US 01/19919 on October 5, 2001; and Search Report for PCT/US 00/33082 on February 15, 2001

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Gary D. Fedorochko, Reg. No. 35,509

Signature

Date

June 23, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) <b>0</b>	

Complete If Known	
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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																									
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account:				<b>Technology Center 2600</b> <b>JUN 24 2003</b>																																									
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<b>FEE CALCULATION</b>				1053	130	1053	130																																						
<b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Code</td> <td>Fee (\$)</td> </tr> <tr> <td>1001</td> <td>750</td> <td>2001</td> <td>375</td> <td>Utility filing fee</td> <td></td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>520</td> <td>2003</td> <td>260</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>750</td> <td>2004</td> <td>375</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	750	2001	375	Utility filing fee		1002	330	2002	165	Design filing fee		1003	520	2003	260	Plant filing fee		1004	750	2004	375	Reissue filing fee		1005	160	2005	80	Provisional filing fee		1812	2,520	1812	2,520
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<b>2. EXTRA CLAIM FEES</b> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Independent Claims</th> <th>Multiple Dependent</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td>** = 0</td> <td>X _____</td> <td>= 0</td> </tr> <tr> <td></td> <td></td> <td></td> <td>** = 0</td> <td>X _____</td> <td>= 0</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td>X _____</td> <td>= 0</td> </tr> </tbody> </table>				Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid										** = 0	X _____	= 0				** = 0	X _____	= 0					X _____	= 0	1805	1,840*	1805	1,840*								
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\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Gary D. Fedoroshko	Registration No. Attorney/Agent)	35,509	Telephone	(202) 824-3000
Signature				Date	June 23, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231